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## SECTION 237413 - OUTDOOR, CENTRAL-STATION AIR-HANDLING UNITS

## PART 1 - GENERAL

## 1.1 RELATED DOCUMENTS

- A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 01 Specification Sections, apply to this Section.

## 1.2 SUMMARY

- A. This Section includes packaged, outdoor, central-station air-handling units (rooftop units) with the following components and accessories:
  - 1. Direct-expansion cooling.
  - 2. Heat-pump refrigeration components.
  - 3. Hot-gas reheat.
  - 4. Gas furnace.
  - 5. Economizer outdoor- and return-air damper section.
  - 6. Integral, space temperature controls.
  - 7. Roof curbs.

## 1.3 DEFINITIONS

- A. DDC: Direct-digital controls.
- B. ECM: Electrically commutated motor.
- C. Outdoor-Air Refrigerant Coil: Refrigerant coil in the outdoor-air stream to reject heat during cooling operations and to absorb heat during heating operations. "Outdoor air" is defined as the air outside the building or taken from outdoors and not previously circulated through the system.
- D. Outdoor-Air Refrigerant-Coil Fan: The outdoor-air refrigerant-coil fan in RTUs. "Outdoor air" is defined as the air outside the building or taken from outdoors and not previously circulated through the system.
- E. RTU: Rooftop unit. As used in this Section, this abbreviation means packaged, outdoor, central-station air-handling units. This abbreviation is used regardless of whether the unit is mounted on the roof or on a concrete base on ground.
- F. Supply-Air Fan: The fan providing supply air to conditioned space. "Supply air" is defined as the air entering a space from air-conditioning, heating, or ventilating apparatus.

- G. Supply-Air Refrigerant Coil: Refrigerant coil in the supply-air stream to absorb heat (provide cooling) during cooling operations and to reject heat (provide heating) during heating operations. "Supply air" is defined as the air entering a space from air-conditioning, heating, or ventilating apparatus.
- H. VVT: Variable-air volume and temperature.

#### 1.4 PERFORMANCE REQUIREMENTS

- A. Delegated Design: Design RTU supports to comply with wind and seismic performance requirements, including comprehensive engineering analysis by a qualified professional engineer, using performance requirements and design criteria indicated.
- B. Wind-Restraint Performance:
  - 1. Basic Wind Speed: 140mph
  - 2. Building Classification Category: [III]
  - 3. Minimum 10 lb/sq. ft multiplied by the maximum area of the mechanical component projected on a vertical plane that is normal to the wind direction, and 45 degrees either side of normal.
- C. Seismic Performance: RTUs shall withstand the effects of earthquake motions determined according to SEI/ASCE 7.
  - 1. The term "withstand" means "the unit will remain in place without separation of any parts from the device when subjected to the seismic forces specified."
  - 2. Refer to Section 230548 "Vibration and Seismic Controls for HVAC Piping and Equipment" for additional requirements.

#### 1.5 ACTION SUBMITTALS

- A. Product Data: Include manufacturer's technical data for each RTU, including rated capacities, dimensions, required clearances, characteristics, furnished specialties, and accessories.
- B. LEED Submittals:
  - 1. Product Data for Credit EA 4: Documentation indicating that equipment and refrigerants comply.
  - 2. Product Data for Prerequisite IEQ 1: Documentation indicating that units comply with ASHRAE 62.1, Section 5 - "Systems and Equipment."
- C. Shop Drawings: Detail equipment assemblies and indicate dimensions, weights, loads, required clearances, method of field assembly, components, and location and size of each field connection.

1. Wiring Diagrams: Power, signal, and control wiring.
- D. Delegated-Design Submittal: For RTU supports indicated to comply with performance requirements and design criteria, including analysis data signed and sealed by the qualified professional engineer responsible for their preparation.
1. Design Calculations: Calculate requirements for selecting vibration isolators and seismic restraints and for designing vibration isolation bases.
  2. Detail mounting, securing, and flashing of roof curb to roof structure. Indicate coordinating requirements with roof membrane system.
  3. Wind- and Seismic-Restraint Details: Detail fabrication and attachment of wind and seismic restraints and snubbers. Show anchorage details and indicate quantity, diameter, and depth of penetration of anchors.

#### 1.6 INFORMATIONAL SUBMITTALS

- A. Coordination Drawings: Plans and other details, drawn to scale, on which the following items are shown and coordinated with each other, using input from installers of the items involved:
1. Structural members to which RTUs will be attached.
  2. Roof openings.
  3. Roof curbs and flashing.
- B. Manufacturer Wind Loading Qualification Certification: Submit certification that specified equipment will withstand wind forces identified in "Performance Requirements" Article and in Section 230548 "Vibration and Seismic Controls for HVAC Piping and Equipment."
1. Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculations.
  2. Dimensioned Outline Drawings of Equipment Unit: Identify center of wind force and locate and describe mounting and anchorage provisions.
  3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.
- C. Manufacturer Seismic Qualification Certification: Submit certification that RTUs, accessories, and components will withstand seismic forces defined in "Performance Requirements" Article and in Section 230548 "Vibration and Seismic Controls for HVAC Piping and Equipment."
1. Basis for Certification: Indicate whether withstand certification is based on actual test of assembled components or on calculation.
  2. Dimensioned Outline Drawings of Equipment Unit: Identify center of gravity and locate and describe mounting and anchorage provisions.
  3. Detailed description of equipment anchorage devices on which the certification is based and their installation requirements.
- D. Field quality-control test reports.

- E. Warranty: Special warranty specified in this Section.

#### 1.7 CLOSEOUT SUBMITTALS

- A. Operation and Maintenance Data: For RTUs to include in emergency, operation, and maintenance manuals.

#### 1.8 MAINTENANCE MATERIAL SUBMITTALS

- A. Furnish extra materials described below that match products installed and that are packaged with protective covering for storage and identified with labels describing contents.
  - 1. Fan Belts: One set for each belt-driven fan.
  - 2. Filters: One set of filters for each unit.

#### 1.9 QUALITY ASSURANCE

- A. ARI Compliance:
  - 1. Comply with ARI 210/240 and ARI 340/360 for testing and rating energy efficiencies for RTUs.
  - 2. Comply with ARI 270 for testing and rating sound performance for RTUs.
- B. ASHRAE Compliance:
  - 1. Comply with ASHRAE 15 for refrigeration system safety.
  - 2. Comply with ASHRAE 33 for methods of testing cooling and heating coils.
  - 3. Comply with applicable requirements in ASHRAE 62.1, Section 5 - "Systems and Equipment" and Section 7 - "Construction and Startup."
- C. ASHRAE/IESNA 90.1 Compliance: Applicable requirements in ASHRAE/IESNA 90.1, Section 6 - "Heating, Ventilating, and Air-Conditioning."
- D. NFPA Compliance: Comply with NFPA 90A and NFPA 90B.
- E. UL Compliance: Comply with UL 1995.
- F. Electrical Components, Devices, and Accessories: Listed and labeled as defined in NFPA 70, Article 100, by a testing agency acceptable to authorities having jurisdiction, and marked for intended use.

#### 1.10 WARRANTY

- A. Special Warranty: Manufacturer's standard form in which manufacturer agrees to replace components of RTUs that fail in materials or workmanship within specified warranty period.

1. Warranty Period for Compressors: Manufacturer's standard, but not less than 5 years from date of Substantial Completion.
2. Warranty Period for Gas Furnace Heat Exchangers: Manufacturer's standard, but not less than 5 years from date of Substantial Completion.
3. Warranty Period for Solid-State Ignition Modules: Manufacturer's standard, but not less than 3 years from date of Substantial Completion.
4. Warranty Period for Control Boards: Manufacturer's standard, but not less than 3 years from date of Substantial Completion.

## PART 2 - PRODUCTS

### 2.1 MANUFACTURERS

- A. Available Manufacturers: Subject to compliance with requirements, manufacturers offering products that may be incorporated into the Work include, but are not limited to, the following:
- B. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
- C. Basis-of-Design Product: Subject to compliance with requirements, provide the product indicated on Drawings or a comparable product by one of the following:
  1. Carrier Corporation.
  2. McQuay International.
  3. Trane; American Standard Companies, Inc.
  4. YORK International Corporation.

### 2.2 CASING

- A. General Fabrication Requirements for Casings: Formed and reinforced double-wall insulated panels, fabricated to allow removal for access to internal parts and components, with joints between sections sealed.
- B. Exterior Casing Material: Galvanized steel with factory-painted finish, with pitched roof panels and knockouts with grommet seals for electrical and piping connections and lifting lugs.
  1. Exterior Casing Thickness: .052 inch thick.
- C. Inner Casing Fabrication Requirements:
  1. Inside Casing: Galvanized steel, 0.034 inch.
- D. Casing Insulation and Adhesive: Comply with NFPA 90A or NFPA 90B.
  1. Materials: ASTM C 1071, Type I.
  2. Thickness: 1 inch.

3. Liner materials shall have air-stream surface coated with an erosion- and temperature-resistant coating or faced with a plain or coated fibrous mat or fabric.
  4. Liner Adhesive: Comply with ASTM C 916, Type I.
- E. Condensate Drain Pans: Formed sections of stainless-steel sheet, a minimum of 2 inches deep, and complying with ASHRAE 62.1.
1. Double-Wall Construction: Fill space between walls with foam insulation and seal moisture tight.
  2. Drain Connections: Threaded nipple both sides of drain pan.
- F. Airstream Surfaces: Surfaces in contact with the airstream shall comply with requirements in ASHRAE 62.1.

### 2.3 FANS

- A. Direct-Driven Supply-Air Fans: Double width, or backward inclined, centrifugal; with permanently lubricated, ECM motor if available for the required size, motor resiliently mounted in the fan inlet. Aluminum or painted-steel wheels, and galvanized- or painted-steel fan scrolls.
- B. Belt-Driven Supply-Air Fans: Double width, forward curved, centrifugal; with permanently lubricated, single-speed motor installed on an adjustable fan base resiliently mounted in the casing. Aluminum or painted-steel wheels, and galvanized- or painted-steel fan scrolls.
- C. Condenser-Coil Fan: Propeller, mounted on shaft of permanently lubricated motor.
- D. Relief-Air Fan: Forward curved or backward inclined, shaft mounted on permanently lubricated motor.
- E. Seismic Fabrication Requirements: Fabricate fan section, internal mounting frame and attachment to fans, fan housings, motors, casings, accessories, and other fan section components with reinforcement strong enough to withstand seismic forces defined in Section 230548 "Vibration and Seismic Controls for HVAC Piping and Equipment" when fan-mounted frame and RTU-mounted frame are anchored to building structure.
- F. Fan Motor: Comply with requirements in Section 230513 "Common Motor Requirements for HVAC Equipment."

### 2.4 COILS

- A. Supply-Air Refrigerant Coil:
1. Aluminum fin and seamless copper tube in steel type vertical distributor
  2. Coil Split: Interlaced.



3. Condensate Drain Pan: Formed with pitch and drain connections complying with ASHRAE 62.1.

B. Hot-Gas Reheat Refrigerant Coil:

1. Aluminum-plate fin and seamless copper tube in steel casing with equalizing-type vertical distributor.

## 2.5 REFRIGERANT CIRCUIT COMPONENTS

A. Number of Refrigerant Circuits: Per Schedule drawing.

B. Compressor: Hermetic or semi-hermetic, mounted on vibration isolators; with internal overcurrent and high-temperature protection, internal pressure relief, and crankcase heater.

C. Refrigeration Specialties:

1. Refrigerant: R-407C, R-410A or other HFC.
2. Expansion valve with replaceable thermostatic element.
3. Refrigerant filter/dryer.
4. Manual-reset high-pressure safety switch.
5. Automatic-reset low-pressure safety switch.
6. Minimum off-time relay.
7. Automatic-reset compressor motor thermal overload.
8. Brass service valves installed in compressor suction and liquid lines.
9. Low-ambient kit high-pressure sensor.
10. Hot-gas reheat solenoid valve with a replaceable magnetic coil.
11. Hot-gas bypass solenoid valve with a replaceable magnetic coil.

## 2.6 AIR FILTRATION

1. Pleated: Minimum 90 percent arrestance, and MERV 7 value.

## 2.7 GAS FURNACE

A. Description: Factory assembled, piped, and wired; complying with ANSI Z21.47 and NFPA 54.

1. CSA Approval: Designed and certified by and bearing label of CSA.

B. Burners: Stainless steel.

1. Fuel: Natural gas.
2. Ignition: Electronically controlled electric spark or hot-surface igniter with flame sensor.
3. High-Altitude Model: For Project elevations more than 2000 feet above sea level.

- C. Heat-Exchanger and Drain Pan: Stainless steel.
- D. Venting: Gravity vented with vertical extension.
- E. Safety Controls:
  - 1. Gas Control Valve: Modulating.
  - 2. Gas Train: Single-body, regulated, redundant, 24-V ac gas valve assembly containing pilot solenoid valve, pilot filter, pressure regulator, pilot shutoff, and manual shutoff.

## 2.8 DAMPERS

- A. Outdoor- and Return-Air Mixing Dampers: Parallel- or opposed-blade galvanized-steel dampers mechanically fastened to cadmium plated for galvanized-steel operating rod in reinforced cabinet. Connect operating rods with common linkage and interconnect linkages so dampers operate simultaneously.
  - 1. Damper Motor: Modulating with adjustable minimum position.
  - 2. Relief-Air Damper: Gravity actuated or motorized, as required by ASHRAE/IESNA 90.1, with bird screen and hood.

## 2.9 ELECTRICAL POWER CONNECTION

- A. Provide for single connection of power to unit with unit-mounted disconnect switch accessible from outside unit and control-circuit transformer with built-in overcurrent protection.

## 2.10 CONTROLS

- A. Control equipment and sequence of operation are specified in Section 230900 "Instrumentation and Control for HVAC."
- B. Interface Requirements for HVAC Instrumentation and Control System:
  - 1. Interface relay for scheduled operation.
  - 2. Interface relay to provide indication of fault at the central workstation and diagnostic code storage.
  - 3. Provide BACnet compatible interface for central HVAC control workstation for the following:
    - a. Adjusting set points.
    - b. Monitoring supply fan start, stop, and operation.
    - c. Inquiring data to include outdoor-air damper position, supply- and room-air temperature and humidity.
    - d. Monitoring occupied and unoccupied operations.
    - e. Monitoring constant and variable motor loads.
    - f. Monitoring variable-frequency drive operation.

- g. Monitoring cooling load.
- h. Monitoring economizer cycles.
- i. Monitoring air-distribution static pressure and ventilation air volume.

## 2.11 ACCESSORIES

- A. Electric heater with integral thermostat maintains minimum 50 deg F temperature in gas burner compartment.
- B. Duplex, 115-V, ground-fault-interrupter outlet with 15-A overcurrent protection. Include transformer if required. Outlet shall be energized even if the unit main disconnect is open.
- C. Low-ambient kit using [variable-speed] condenser fans for operation down to 35 deg F .
- D. Filter differential pressure switch with sensor tubing on either side of filter. Set for final filter pressure loss.
- E. Coil guards of painted, galvanized-steel wire.
- F. Hail guards of galvanized steel, painted to match casing.
- G. Concentric diffuser with white louvers and polished aluminum return grilles, insulated diffuser box with mounting flanges, and interior transition.

## 2.12 ROOF CURBS

- A. Roof curbs with vibration isolators and wind or seismic restraints are specified in Section 230548 "Vibration and Seismic Controls for HVAC Piping and Equipment."
  - 1. Curb Insulation and Adhesive: Comply with NFPA 90A or NFPA 90B.
    - a. Materials: ASTM C 1071, Type I or II.
    - b. Thickness: 1 inch.
  - 2. Application: Factory applied with adhesive and mechanical fasteners to the internal surface of curb.
    - a. Liner Adhesive: Comply with ASTM C 916, Type I.
    - b. Mechanical Fasteners: Galvanized steel, suitable for adhesive attachment, mechanical attachment, or welding attachment to duct without damaging liner when applied as recommended by manufacturer and without causing leakage in cabinet.
    - c. Liner materials applied in this location shall have air-stream surface coated with a temperature-resistant coating or faced with a plain or coated fibrous mat or fabric depending on service air velocity.
    - d. Liner Adhesive: Comply with ASTM C 916, Type I.

- B. Curb Height: 24 inches.
- C. Wind and Seismic Restraints: Metal brackets compatible with the curb and casing, painted to match RTU, used to anchor unit to the curb, and designed for loads at Project site. Comply with requirements in Section 230548 "Vibration and Seismic Controls for HVAC Piping and Equipment" for wind-load requirements.

### PART 3 - EXECUTION

#### 3.1 EXAMINATION

- A. Examine substrates, areas, and conditions, with Installer present, for compliance with requirements for installation tolerances and other conditions affecting performance of RTUs.
- B. Examine roughing-in for RTUs to verify actual locations of piping and duct connections before equipment installation.
- C. Examine roofs for suitable conditions where RTUs will be installed.
- D. Proceed with installation only after unsatisfactory conditions have been corrected.

#### 3.2 INSTALLATION

- A. Concrete Bases: Anchor equipment to concrete base according to equipment manufacturer's written instructions and according to seismic codes at Project.
  - 1. Construct concrete bases of dimensions indicated, but not less than 4 inches larger than supported equipment and minimum 6 inches above finished ground elevation.
  - 2. Place and secure anchorage devices. Use supported equipment manufacturer's setting drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
  - 3. Install anchor bolts to elevations required for proper attachment to supported equipment.
  - 4. Install anchor bolts according to anchor-bolt manufacturer's written instructions.
  - 5. Use 3000-psi, 28-day compressive-strength concrete and reinforcement as specified in Section 033000 "Cast-in-Place Concrete" or Section 033053 "Miscellaneous Cast-in-Place Concrete."
- B. Equipment Mounting: Install RTUs on concrete base using restrained spring isolators. Comply with requirements for concrete base specified in Section 033000 "Cast-in-Place Concrete" or Section 033053 "Miscellaneous Cast-in-Place Concrete."
  - 1. Minimum Deflection: To give 90% isolation efficiency.

- C. Roof Curb: Install on roof structure or concrete base, level and secure, according to NRCA's "Low-Slope Membrane Roofing Construction Details Manual," Illustration "Raised Curb Detail for Rooftop Air Handling Units and Ducts." Install RTUs on curbs and coordinate roof penetrations and flashing with roof construction specified in Section 077200 "Roof Accessories." Secure RTUs to upper curb rail, and secure curb base to roof framing or concrete base with anchor bolts.
- D. Install wind and seismic restraints according to manufacturer's written instructions. Wind and seismically restrained vibration isolation roof-curb rails are specified in Section 230548 "Vibration and Seismic Controls for HVAC Piping and Equipment."

### 3.3 CONNECTIONS

- A. Install condensate drain, minimum connection size, with trap and indirect connection to nearest roof drain or area drain.
- B. Install piping adjacent to RTUs to allow service and maintenance.
  - 1. Gas Piping: Comply with applicable requirements in Section 231123 "Facility Natural-Gas Piping." Connect gas piping to burner, full size of gas train inlet, and connect with union and shutoff valve with sufficient clearance for burner removal and service.
- C. Duct installation requirements are specified in other HVAC Sections. Drawings indicate the general arrangement of ducts. The following are specific connection requirements:
  - 1. Install ducts to termination at top of roof curb.
  - 2. Remove roof decking only as required for passage of ducts. Do not cut out decking under entire roof curb.
  - 3. Connect supply ducts to RTUs with flexible duct connectors specified in Section 233300 "Air Duct Accessories."
  - 4. Install return-air duct continuously through roof structure.
  - 5. Install normal-weight, 3000-psi, compressive strength (28-day) concrete mix inside roof curb, 4 inches thick. Concrete, formwork, and reinforcement are specified with concrete.

### 3.4 FIELD QUALITY CONTROL

- A. Perform tests and inspections and prepare test reports.
  - 1. Manufacturer's Field Service: Engage a factory-authorized service representative to inspect components, assemblies, and equipment installations, including connections, and to assist in testing. Report results in writing.

## B. Tests and Inspections:

1. After installing RTUs and after electrical circuitry has been energized, test units for compliance with requirements.
2. Inspect for and remove shipping bolts, blocks, and tie-down straps.
3. Operational Test: After electrical circuitry has been energized, start units to confirm proper motor rotation and unit operation.
4. Test and adjust controls and safeties. Replace damaged and malfunctioning controls and equipment.

## C. Remove and replace malfunctioning units and retest as specified above.

## 3.5 STARTUP SERVICE

## A. Engage a factory-authorized service representative to perform startup service.

## B. Complete installation and startup checks according to manufacturer's written instructions and do the following:

1. Inspect for visible damage to unit casing.
2. Inspect for visible damage to furnace combustion chamber.
3. Inspect for visible damage to compressor, coils, and fans.
4. Inspect internal insulation.
5. Verify that labels are clearly visible.
6. Verify that clearances have been provided for servicing.
7. Verify that controls are connected and operable.
8. Verify that filters are installed.
9. Clean condenser coil and inspect for construction debris.
10. Clean furnace flue and inspect for construction debris.
11. Connect and purge gas line.
12. Remove packing from vibration isolators.
13. Inspect operation of barometric relief dampers.
14. Verify lubrication on fan and motor bearings.
15. Inspect fan-wheel rotation for movement in correct direction without vibration and binding.
16. Adjust fan belts to proper alignment and tension.
17. Start unit according to manufacturer's written instructions.
  - a. Start refrigeration system.
  - b. Do not operate below recommended low-ambient temperature.
  - c. Complete startup sheets and attach copy with Contractor's startup report.
18. Inspect and record performance of interlocks and protective devices; verify sequences.
19. Operate unit for an initial period as recommended or required by manufacturer.
20. Perform the following operations for both minimum and maximum firing. Adjust burner for peak efficiency.

- a. Measure gas pressure on manifold.
  - b. Inspect operation of power vents.
  - c. Measure combustion-air temperature at inlet to combustion chamber.
  - d. Measure flue-gas temperature at furnace discharge.
  - e. Perform flue-gas analysis. Measure and record flue-gas carbon dioxide and oxygen concentration.
  - f. Measure supply-air temperature and volume when burner is at maximum firing rate and when burner is off. Calculate useful heat to supply air.
21. Calibrate thermostats.
22. Adjust and inspect high-temperature limits.
23. Inspect outdoor-air dampers for proper stroke and interlock with return-air dampers.
24. Start refrigeration system and measure and record the following when ambient is a minimum of 15 deg F above return-air temperature:
- a. Coil leaving-air, dry- and wet-bulb temperatures.
  - b. Coil entering-air, dry- and wet-bulb temperatures.
  - c. Outdoor-air, dry-bulb temperature.
  - d. Outdoor-air-coil, discharge-air, dry-bulb temperature.
25. Inspect controls for correct sequencing of heating, mixing dampers, refrigeration, and normal and emergency shutdown.
26. Measure and record the following minimum and maximum airflows. Plot fan volumes on fan curve.
- a. Supply-air volume.
  - b. Return-air volume.
  - c. Relief-air volume.
  - d. Outdoor-air intake volume.
27. Simulate maximum cooling demand and inspect the following:
- a. Compressor refrigerant suction and hot-gas pressures.
  - b. Short circuiting of air through condenser coil or from condenser fans to outdoor-air intake.
28. Verify operation of remote panel including pilot-light operation and failure modes. Inspect the following:
- a. High-temperature limit on gas-fired heat exchanger.
  - b. Low-temperature safety operation.
  - c. Filter high-pressure differential alarm.
  - d. Economizer to minimum outdoor-air changeover.
  - e. Relief-air fan operation.
  - f. Smoke and firestat alarms.
29. After startup and performance testing and prior to Substantial Completion, replace existing filters with new filters.

## 3.6 CLEANING AND ADJUSTING

- A. Occupancy Adjustments: When requested within 12 months of date of Substantial Completion, provide on-site assistance in adjusting system to suit actual occupied conditions. Provide up to two visits to site during other-than-normal occupancy hours for this purpose.
- B. After completing system installation and testing, adjusting, and balancing RTU and air-distribution systems, clean filter housings and install new filters.

## 3.7 DEMONSTRATION

- A. Engage a factory-authorized service representative to train Owner's maintenance personnel to adjust, operate, and maintain RTUs. Refer to Section 017900 "Demonstration and Training."

END OF SECTION 237413